

CERTIFICATE OF EXPRESS MAIL

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William H. Lewis
Stuart R. Flint

Serial No.: To be assigned

Filed: July 9, 2003

For: SYSTEM, METHOD, AND
APPARATUS FOR ATTRACTING AND
STIMULATING AQUATIC ANIMALS

Group Art Unit: To be assigned

Examiner: To be assigned

Atty. Dkt. No.: 012407.000081

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on the attached Form PTO/SB/08A be considered by the Examiner and made of record.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed within three months of the filing date as well as prior to the receipt of a first Office Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). Therefore, no fees are believed to be due in connection with the filing of this Information Disclosure Statement; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Thompson & Knight, LLP's Deposit Account No. 20-0821.

Please reference Attorney Docket No. 012407.000081.

It is respectfully requested that the listed documents be made of record in the present case.

Respectfully submitted,



H. Lisa Calico
Reg. No. 43,725

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Dated: July 9, 2003

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| <p>Substitute for form 1449/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(Use as many sheets as necessary)</i></p> | | <p>Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">To be assigned</td> </tr> <tr> <td>Filing Date</td> <td>July 9, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>William H. Lewis</td> </tr> <tr> <td>Art Unit</td> <td>To be assigned</td> </tr> <tr> <td>Examiner Name</td> <td>To be assigned</td> </tr> <tr> <td colspan="2">Attorney Docket Number</td> </tr> </table> | | Application Number | To be assigned | Filing Date | July 9, 2003 | First Named Inventor | William H. Lewis | Art Unit | To be assigned | Examiner Name | To be assigned | Attorney Docket Number | |
| Application Number | To be assigned | | | | | | | | | | | | | | |
| Filing Date | July 9, 2003 | | | | | | | | | | | | | | |
| First Named Inventor | William H. Lewis | | | | | | | | | | | | | | |
| Art Unit | To be assigned | | | | | | | | | | | | | | |
| Examiner Name | To be assigned | | | | | | | | | | | | | | |
| Attorney Docket Number | | | | | | | | | | | | | | | |
| Sheet | 1 | of | 1 | | | | | | | | | | | | |

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.